



# Transfer of Ownership Form

## 1. HOW TO TRANSFER

### Completing the form

This transaction may attract Capital Gains Tax and we therefore suggest that the implications thereof be discussed with a tax adviser.

#### DOWNLOAD AND COMPLETE THE FORM AND AGREE TO THE T&C'S

1. Please read the attached [Terms and Conditions](#), the Investment Option Brochure and the Minimum Disclosure Document (MDD).
2. Complete all relevant sections, and send it together with the required documents to Prescient via e-mail [hedge@prescient.co.za](mailto:hedge@prescient.co.za).
3. Monthly dealing hedge funds: Your application form must be submitted to the Manager before 14h00, 5 (five) business days before the preceding month end. Proof of payment must be sent by the end of the month preceding in the deal in date.

# Transfer of Ownership Application Form

## 2. TRANSFEROR (FOR COMPLETION BY CURRENT INVESTOR)

Existing Investor Number \_\_\_\_\_

Full Name / Registered Name \_\_\_\_\_

ID/ Passport/ Registration / Trust Number \_\_\_\_\_

I/We hereby declare that I/we wish to cede, assign and/or transfer all my rights, obligations and ownership of:

From Hedge Fund Name	Units to the value of or Number of units or All units	Debit Order Instruction		
		Transfer	Cancel	Continue in my name

## 3. TRANSFEREE (FOR COMPLETION BY RECIPIENT OF UNITS)

I/We do hereby agree to accept the said units, subject to the provisions of the Deed.

Existing Investor                      Yes                      No

If yes, please provide existing investor number \_\_\_\_\_

If no, please complete an Investment Application form and attach the FICA documentation required

Full Name / Registered Name \_\_\_\_\_

ID/ Passport/ Registration / Trust Number \_\_\_\_\_

Residential / Registered address \_\_\_\_\_

\_\_\_\_\_ Postal code \_\_\_\_\_

Postal address \_\_\_\_\_

\_\_\_\_\_ Postal code \_\_\_\_\_

Telephone (H) \_\_\_\_\_ Cell \_\_\_\_\_

Email address \_\_\_\_\_



## AUTHORISATION AND DECLARATION

I/We, the undersigned Transferor:

1. hereby give notice in terms of the trust deed of my/our application to transfer ownership of the relevant units and in consideration of the purchase price to be paid to me/us for the said units, hereby cede, assign and transfer all my/ our rights, title and interest in and to the said units to the Transferee, who accepts such cession, assignation and transfer.
2. further acknowledge that by transferring ownership, I/We will not be entitled to the benefits to the said units.
3. warrant that I/we have power and authority to enter into and conclude this transaction.
4. acknowledge that the responsibility in ensuring my/our instruction has been received and actioned by Prescient lies with me/us.
5. acknowledge that Prescient will not be liable for any damages or loss of whatsoever nature arising out of Prescient's failure to action my/ our instruction due to any occurrences beyond the control of Prescient, nor will Prescient be liable for any loss incurred due to incorrect information being supplied by me/us or by me/us sending completed documentation to the incorrect mailbox.
6. acknowledge the transaction cut off times set out herein and agree to comply with such cut off times.
7. have read and understood the appropriate comprehensive fact sheet information available on Prescient's [website](#)

## TRANSFEROR(S)

Signed at \_\_\_\_\_ Date \_\_\_\_\_

Full name of signatory \_\_\_\_\_ Capacity \_\_\_\_\_

Authorised Signatory \_\_\_\_\_

Signed at \_\_\_\_\_ Date \_\_\_\_\_

Full name of signatory \_\_\_\_\_ Capacity \_\_\_\_\_

Authorised Signatory \_\_\_\_\_

## TRANSFEE(S)

Signed at \_\_\_\_\_ Date \_\_\_\_\_

Full name of signatory \_\_\_\_\_ Capacity \_\_\_\_\_

Authorised Signatory \_\_\_\_\_

Signed at \_\_\_\_\_ Date \_\_\_\_\_

Full name of signatory \_\_\_\_\_ Capacity \_\_\_\_\_

Authorised Signatory \_\_\_\_\_



## CONTACT PRESCIENT MANAGEMENT COMPANY (RF) (PTY) LTD

Physical Address	Prescient House, Westlake Business Park, Otto Close, Westlake, 7945
Postal Address	P.O. Box 31142 Tokai 7966
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Reception	+27 21 700 3600
E-mail	<a href="mailto:hedge@prescient.co.za">hedge@prescient.co.za</a>
Website	<a href="http://www.prescient.co.za">www.prescient.co.za</a>

## CONTACT SAFFRON WEALTH (PTY) LTD

Physical Address	B6 Octo Place, Electron Road, Technopark, Stellenbosch, 7599
Postal Address	Suite 426, Private Bag X5061, Stellenbosch, 7599
Telephone	+27 21 880 7080
E-mail	<a href="mailto:info@saffronwealth.com">info@saffronwealth.com</a>
Website	<a href="http://www.saffronwealth.com">www.saffronwealth.com</a>

## COMPLIANCE DEPARTMENT

Compliance Officer	Charmaine Tew
Physical Address	Prescient House, Westlake Business Park, Otto Close, Westlake, 7945
Postal Address	P.O. Box 31142 Tokai 7966
Toll Free	+27 800 111 899
Reception	+27 21 700 3600
E-mail	<a href="mailto:compliance@prescient.co.za">compliance@prescient.co.za</a>
Website	<a href="http://www.prescient.co.za">www.prescient.co.za</a>

## COMPLAINTS

Please do not hesitate to contact us if you are not satisfied with this investment or the services received from Prescient. A complaint must be submitted to the Compliance Officer. Prescient will acknowledge the complaint in writing and will inform the investor of the contact details of the persons involved in the resolution thereof. Should you wish to lodge a complaint regarding the services being provided, an email can be sent to [complaints@prescient.co.za](mailto:complaints@prescient.co.za) or alternatively you can obtain our complaints policy, conflict of interest policy from the compliance department (address above).

Should you have a complaint related to the advice given by your financial advisor, please submit this complaint directly to your financial advisor. If an investor is still not satisfied with the response from the Financial Advisor, he/she has the right to address his/her complaint in writing to the Ombud for Financial Services Providers at the address below. The Ombud is legally empowered to investigate and adjudicate complaints in a procedurally fair, economical and expeditious manner.

Postal Address	P.O. Box 74571 Lynnwood Ridge 0040
Telephone	+27 12 470 9080
Fax	+27 12 348 3447
E-mail	<a href="mailto:info@faisombud.co.za">info@faisombud.co.za</a>