APEX | FUNDROCK

SAFFRONWEALTH FUND MANAGEMENT

TRANSFER
INDIVIDUALS / LEGAL ENTITIES

Fundrock Collective Investments (RF) (Pty) ("FR") Ltd is part of the Apex Group Ltd. FR is a registered Manager of the Fundrock Collective Investments Scheme, approved in terms of the Collective Investments Schemes Control Act, No 45 of 2002.

IMPORTANT INFORMATION

1. This form is to be used by existing investors only.
2. Please read the **Terms and Conditions** that apply to this investment. This is available from your financial advisor, the Client Service Centre or at **www.fundrock.co.za**.
3. Please email required documents for processing to **cis-instructions@fundrock.com**.
4. The cut-off for instructions is 14:00, Money Market cut-off is 11:30. If received after the cut-off the next business day pricing will apply.

SECTION 1: CURRENT INVESTOR DETAILS

FR Investor Number / Client Account Number

Title

Surname / Entity Name (e.g. company or trust)

Name of Investor / Authorised contact person

ID or Passport number / Registration number

Telephone numbers Home Work

Mobile

Email address

SECTION 2: INVESTMENT TRANSFER DETAILS

Would you like **all** your units to be transferred: Yes ☐ No ☐

If No, please indicate how your unit trust portfolio/s should be transferred in the table below:

Unit Trust Portfolio	Account Number	Total amounts		Units		Percentage
			or		or	%
			or		or	%
			or		or	%
			or		or	%
			or		or	%
TOTAL						%

The transfer of participatory interests is automatically classified as a Capital Gains Tax event. If you are transferring ownership to your spouse, you may choose to have the event rolled over to the transferee. Please note that the spouse must be a resident in terms of South African Tax Law. If "yes" is selected, please supply a copy of your marriage certificate.

Roll over CGT event for this transfer: Yes ☐ No ☐

Signature of transferor Date D D / M M / Y Y Y Y

Name of signatory

SECTION 3: INVESTOR DETAILS TRANSFERRING TO

If transferee is not an existing unit trust account holder, please complete an application form and forward to us with all your FICA documentation.

FR Investor Number / Client Account Number

Title

Surname / Entity Name (e.g. company or trust)

Name of Investor / Authorised contact person

ID or Passport number / Registration number

Telephone numbers Home Work

Mobile

Email address

Residential / Physical / Registered address

Postal Code

Signature of transferee		Date	D D / M M / Y Y Y Y
Name of signatory			

SECTION 4: BANKING DETAILS

Distribution Payments

☐ Distributions to be re-invested OR ☐ Distributions paid into account as per the 'Investor bank account details below'

Account holder			
Bank			
Branch name		Branch code	
Account number			
Account type	☐ Current ☐ Savings ☐ Transmission		

Debit Order Details

Total to be collected R ☐☐☐☐☐☐☐☐ commencing on the 1st ☐ OR 15th ☐ of M M / Y Y Y Y

Debit orders are applied on the 1st or the 15th of each month. If the selected day falls on a weekend or public holiday it will be effected on the next business day. The cut-off for all debit order notices to be processed in a particular month is by 14:00, five business days before the selected day.

SECTION 5: INVESTOR DECLARATION

1. I confirm that all information provided in this form and all other documents signed by me in connection with this application, whether in my handwriting or not, are true and correct.
2. Where this form is signed in a representative capacity I confirm that I have the necessary authority to do so.
3. I confirm that FR may accept instructions from any authorised third-party who has been authorised by me in writing.
4. I have read, understood and agree to the latest **Terms and Conditions** on the FR website **www.fundrock.co.za.**
5. Where signed in the capacity as legal guardian, I explicitly consent to the use of the minor's personal details contained herein.
6. FR will accept electronic signatures accompanied by a certificate or audit trail.
7. I hereby indemnify and hold FR, its affiliates, directors, officers, employees, and agents harmless from and against any and all claims, losses, liabilities, damages, costs, and expenses (including reasonable legal fees) arising from or in connection with the use of electronic signatures, including any fraud, misrepresentation, or unauthorised use by any person.

PRIVACY STATEMENT

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") takes your privacy and the protection of your personal information seriously, and we will only use your personal information in accordance with Applicable Laws and the FR Privacy Policy. It is important to us that you understand how we obtain, process, store, and share your information. We may disclose your information to other entities in the Apex Group and/or its subsidiaries, agents, partners, or sub-contractors, for purposes as described in this application or related privacy policies. By submitting any personal information to FR you provide us with your consent to, alternatively acknowledge, the processing and sharing of your personal information and/or that personal information which you have provided that relates to other data subjects, as set out in the Privacy Policy. Please do not submit any personal information to FR if you do not agree/ object to any of the provisions of the Privacy Policy. Should you object to the Privacy Policy, or parts thereof, FR may not be able to provide its products and/or services to you. To access the FR Privacy Policy please click on the **link** or on the FR website **www.fundrock.co.za**.

Signature of investor(s) or legal guardian		Date	D D / M M / Y Y Y Y
Name of signatory			

POPIA

By signing this application, I consent, alternatively acknowledge, that I have read and understood the Privacy Policy and I consent, alternatively acknowledge, to the collection, processing, storage, and internal sharing of my personal information by FR and members of the Apex Group, in accordance with the Protection of Personal Information Act, 4 of 2013 (POPIA).

CONTACT DETAILS

Physical Address

Fundrock Collective Investments
Catnia Building
Bella Rosa Village
Bella Rosa Street
Bellville
7530

Contact us

Tel: +27 21 007 1500/1/2 or +27 21 879 9937/9
Email: sa-clientsupport@fundrock.com | sa-compliance@fundrock.com
Visit our website: **www.fundrock.co.za**

Should you have any complaints, please send an email to sa-complaints@fundrock.com

ASISA AN ORDINARY MEMBER OF THE ASSOCIATION FOR SAVINGS & INVESTMENT SA

Custodian / Trustee

The Standard Bank of South Africa Limited
Tel: +27 21 441 4100